

**Item 1**

**FTA's final 2020 POWB**

Doc 1

**ERRATUM**

Proposed final W1W2 allocations to program participants for the FTA 2020 POWB

The purpose of this paper is to present to the ISC the final W1W2 funding situation for 2020 and to propose the corresponding revision of the FTA 2020 POWB and related 2020 final W1W2 allocations to FTA program participants for endorsement of the ISC and for their subsequent presentation by the ISC to the lead center Board for final approval.

As per this proposal, the sum of W1W2 allocations to FTA program participants in 2020, to cover the 2020 final workplan, amounts to USD 11,989,450 including USD 1,485,000 originating from program-level carry-over from 2019 (Table 5).

There is a carry-over into 2021 at program level of USD 179,414.

**This document supersedes the original doc 1. The only change is a transfer in FP3 from CIFOR T2 to ICRAF T2 of USD 19.350 (Table 5 and 6 CIFOR/ICRAF) to cover the same activities and same time of the same researcher, having changed contract from CIFOR to ICRAF within FP3.**

1) Budget revisions due to COVID-19.

Three times during the year, in March, June and in September, FP/CCTs were offered the possibility to revise their POWB (at constant budget) given the operational impact due to COVID-19.

The ISC had already endorsed on 22 April 2020 the allocations containing the 1st budget revision related to COVID-19 (done in March 2020), that was included in the allocations presented to the CIFOR Board on 6 May 2020.

Two further revisions were made in June and September. These are included in the workplan submitted. These two revisions touched on a total of 9 activities, which were changed as per the revision rules (contribution to the overall objectives of the same operational priority), representing overall 179.000 USD. The two revisions were approved by the FTA management team.

In addition, in the MSU, the budget originally set aside for the logistics of the FTA science conference (320.000 USD), for the originally foreseen ISC and MT meetings and other workshops that were done by electronic means (total 100.000 USD) was saved and will be used as internal carry-over into 2021, diminishing, together with the rest of the carry-over within the MSU, the need for 2021 W1W2 funds to cover the 2021 budget of the MSU. These carry-over funds will be integrated into the 2021 POWB.

2) Additional workplan and allocations for the French W2 in 2020

In 2020, FTA also received a separate amount of 1,423,499 USD of W2 from France earmarked to a new workplan on agroecology, additional to the original finplan of 9,996,000 USD as per the W1W2 partial relinking rules in vigor at the time. This was not included into the initial POWB and related allocations. This funding is earmarked to the agroecology priority of FTA, with a dedicated, separated plan of work attached to it (see Document 2 of this agenda item), with W1W2 allocated as follows (Table 1)

**Table 1. France 2020 W2 allocations to FTA partners (new Tier 1)**

Center	Amount (USD)
CIFOR	144,178
ICRAF	782,961
Bioversity	75,000
CIRAD	420,861
<b>Total</b>	<b>1,423,000</b>
<i>Carry-over into 2021</i>	499

3) Final W1W2 and decision on T3

On 26 October 2020, the ISC reflected ways to deal with a possible 2020 funding shortfall and gave the recommendation to FTA and all its partners “to use a more risk averse strategy concerning Tier 3 than originally planned for the year”. The ISC further invited all partners “to prioritize, for the rest of 2020, the finalization of activities in Tier 1 and Tier 2, and not to initiate at this point Tier 3 activities. Those that have already initiated Tier 3 activities can propose to swap these activities with Tier 2 activities as part of the ongoing budget revision”.

On 9 November 2020, FTA received its final W1 instalment from the CGIAR, as per Table 2.

**Table 2. W1W2 income and allocations in 2020 (USD)**

	W1	W2 (NL+AUS)	Total W1+NL+AUS	W2 France (additional T1)
<b>(a) Carry-forward from 2019 (a)</b>			<b>1,485,000</b>	
W1-W2 received from CGIAR on				
8-Apr-20	2,409,990		2,409,990	
15-May-20				1,423,499
25-Jun-20		840,095	840,095	
15-Oct-20		1,763,990	1,763,990	
9-Nov-20	4,238,290		4,238,290	
<b>(b) Total received in 2020</b>	<b>6,648,280</b>	<b>2,604,085</b>	<b>9,252,365</b>	<b>1,423,499</b>
FINPLAN 2020			9,996,000	1,423,499
<i>Gap 2020 (received-finplan)</i>			743,635	0
<b>(c) Total income (a+b)</b>			<b>10,737,365</b>	<b>1,423,499</b>
<b>(d) 2020 final POWB (final allocations proposed as per this document)</b>			<b>10,558,450</b>	<b>1,424,000</b>
<b>(e) Carry-over into 2021 (c-d)</b>			178,915	499

This left a W1W2 funding “gap” of USD 743,635. **T3 originally amounted to USD 1,846,000.** To address this gap, in consultation with the lead center DG, finance director, and management team of FTA, it was proposed to cut within the T3 workplans by 50%.

Therefore, as per the final allocations proposed hereby, the total W1W2 amount to be made available to FTA partners in 2020 (including the carry-forward and new money) equals T1 + T2 + 50% of T3.

To reduce T3 by 50%, the FTA management team reviewed the state of delivery of T3 workplans in FPs and CCTs. Overall, as per the latest estimates from the FPs and CCTs, approximately 80-85% of the operational priorities’ outputs will be delivered by end 2020 or early 2021<sup>1</sup>. The remaining 15-20% will be substantially delayed into 2021, mostly T3 work. FPs/CCTs/MSU listed the T3 activities that were to be retained (and funded) in the 2020 POWB giving priority to those already delivered and those well advanced, and also listed those T3 activities that were to be removed from the 2020 POWB, for at least 50% of the T3 original budget. The effect of this operation on final allocations is included in the Tables 5. T3 Activities removed through this operation will not get funded in 2020 but can be inscribed by FPs/CCT in their 2021 plan of work and budget.

The final revisions leave a relatively small amount of carry-over at program level into 2021 of approx. USD 180k<sup>2</sup>, in substantial decrease from last year.

#### 4) Final W1W2 allocations to FTA partners (not including French W2)

Table 5 shows the final allocations to FTA partners taking into account the POWB revision related to the 50% T3 cut (see section 2 above), as well as the three budget revisions that were made on course of the year to deal with operational issues related to COVID-19 (see section 1 above). Table 5 does not include the French W2 allocations detailed in section 2 and Table 2.

With this, the W1-W2 allocations in 2020 amount to USD 11,989,450, sum of USD 10,558,450 from the revised original workplan and USD 1,424,000 for the additional workplan earmarked on agroecology.

**Table 4 - For the record: original allocations (approved by the lead center board 6 May 2020)**

2020	2020 W1+2	Tier 1	Tier 2	Tier 3
CIFOR (parked)	22.500	22.500		
CIFOR	5.452.700	3.512.900	1.290.700	649.100
ICRAF	3.713.300	1.476.000	1.482.200	755.100
Bioversity	772.500	240.000	358.100	174.400
CIAT	70.000	-	50.000	20.000
CIRAD (*)	440.500	171.000	202.500	67.000
CATIE	476.500	296.500	138.200	41.800
INBAR	274.700	96.800	79.300	98.600
TROPENBOS	258.300	124.300	94.000	40.000
<b>TOTAL</b>	<b>11.481.000</b>	<b>5.940.000</b>	<b>3.695.000</b>	<b>1.846.000</b>
(*) 105k not represented in this line is channeled though ICRAF line (FP2 including 25k of coordination funds)				

<sup>1</sup> This figure does not include the agroecology workplan for which outputs will mostly be delivered in 2021.

<sup>2</sup> Noting that USD 22.5k of this carry-over is pre-committed to Bioversity to cover the co-financing of the IDRC project: that amount was committed under the 2020 budget, retained at program level due to COVID-19 delays on this project.

**Table 5 Final 2020 W1W2 allocations (including the 2019 C/F program level)**

2020	2020 W1+2	Tier 1	Tier 2	Tier 3
CIFOR (parked)	22.500	22.500		
CIFOR	4.993.000	3.422.900	1.246.350	323.750
ICRAF	3.441.150	1.566.000	1.498.550	376.600
Bioversity	667.500	225.000	358.100	84.400
CIAT	67.800	-	50.000	17.800
CIRAD (*)	430.500	171.000	222.500	37.000
CATIE	455.150	296.500	138.200	20.450
INBAR	247.750	96.800	79.300	71.650
TROPENBOS	233.100	124.300	94.000	14.800
<b>TOTAL</b>	<b>10.558.450</b>	<b>5.925.000</b>	<b>3.687.000</b>	<b>946.450</b>

(\*) 105k not represented in this line is channeled though ICRAF line (FP2 including 25k of coordination funds)

**Table 6 - Summary by Centers and FPs**

lead center (parked)	2020 W1+2	T1	T2	T3
IDRC co-funding for 2021	<b>22.500</b>	<b>22.500</b>	-	-

CIFOR	2020 W1+2	T1	T2	T3
FP1	46.000	24.000	22.000	-
FP2	80.000	55.000	25.000	-
FP3	588.150	347.500	209.150	31.500
FP4	248.000	68.000	138.000	42.000
FP5	641.700	292.000	319.000	30.700
Gender	271.000	62.000	143.000	66.000
Comms	300.000	300.000	-	-
Data	200.000	200.000	-	-
Cap Dev	50.000	50.000	-	-
MELIA	431.650	201.400	92.200	138.050
MELIA coord. (MSU)	200.000	200.000	-	-
MSU	1.936.500	1.623.000	298.000	15.500
<b>Total</b>	<b>4.993.000</b>	<b>3.422.900</b>	<b>1.246.350</b>	<b>323.750</b>

ICRAF	2020 W1+2	T1	T2	T3
FP1	744.000	304.000	353.000	87.000
FP2	1.162.200	503.700	523.500	135.000
FP3	221.850	39.000	135.350	47.500
FP4	639.800	319.300	233.300	87.200
FP5	126.900	63.000	55.000	8.900
Gender	161.400	37.000	113.400	11.000
Cap Dev	100.000	100.000	-	-
MELIA	215.000	130.000	85.000	-
MSU	70.000	70.000	-	-
<b>Total</b>	<b>3.441.150</b>	<b>1.566.000</b>	<b>1.498.550</b>	<b>376.600</b>

<b>Bioversity</b>	2020 W1+2	T1	T2	T3
FP1	293.000	73.000	174.000	46.000
FP2	-			
FP3	33.500	5.000	24.500	4.000
FP4	51.500	11.500	40.000	-
FP5	-	-	-	-
Gender	179.500	55.500	89.600	34.400
Partners funds	30.000	30.000	-	-
MELIA	80.000	50.000	30.000	
<b>Total</b>	<b>667.500</b>	<b>225.000</b>	<b>358.100</b>	<b>84.400</b>
<b>CIRAD</b>	2020 W1+2	T1	T2	T3
FP1	-			
FP2	-	-	-	-
FP3	248.000	58.500	152.500	37.000
FP4	42.500	7.500	35.000	-
FP5	50.000	15.000	35.000	-
MELIA	60.000	60.000	-	-
Partners funds	30.000	30.000	-	-
<b>Total</b>	<b>430.500</b>	<b>171.000</b>	<b>222.500</b>	<b>37.000</b>
NB: the table above does not include 105k of FP2 funds channelled through ICRAF				
<b>CIAT</b>	2020 W1+2	T1	T2	T3
FP1	-			
FP2	-			
FP3	-			
FP4	-			
FP5	67.800	-	50.000	17.800
Partners funds	-			
<b>Total</b>	<b>67.800</b>	<b>-</b>	<b>50.000</b>	<b>17.800</b>

<b>CATIE</b>	2020 W1+2	T1	T2	T3
FP1	-	-		
FP2	199.200	132.000	57.200	10.000
FP3	29.500	4.500	19.000	6.000
FP4	152.000	130.000	22.000	-
FP5	44.450	-	40.000	4.450
Partners funds	30.000	30.000	-	-
<b>Total</b>	<b>455.150</b>	<b>296.500</b>	<b>138.200</b>	<b>20.450</b>
<b>Tropenbos</b>	2020 W1+2	T1	T2	T3
FP1	-	-		
FP2	-	-		
FP3	171.600	86.800	70.000	14.800
FP4	31.500	7.500	24.000	-
FP5	-	-	-	-
Partners funds	30.000	30.000		
<b>Total</b>	<b>233.100</b>	<b>124.300</b>	<b>94.000</b>	<b>14.800</b>
<b>INBAR</b>	2020 W1+2	T1	T2	T3
FP1	20.000	10.000	10.000	-
FP2	28.600	9.300	14.300	5.000
FP3	-	-	-	-
FP4	37.500	7.500	30.000	-
FP5	131.650	40.000	25.000	66.650
Partners funds	30.000	30.000		
<b>Total</b>	<b>247.750</b>	<b>96.800</b>	<b>79.300</b>	<b>71.650</b>